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# Spatial variability of $PM_{10}$ , $PM_{2.5}$ and PM chemical components in an industrialised rural area within a mountainous terrain

We describe the measurement and spatial variability of particulate matter (PM) chemical composition, PM<sub>10</sub> and PM<sub>2.5</sub> in the Greater Tubatse Municipality, South Africa. Monthly samples were collected over 12 months (July 2015 to June 2016) using the inexpensive and easy to operate passive samplers of the University of North Carolina. Sites for sample collection were located at private residences, a church, a hospital and a school. Concentrations of PM<sub>10</sub>, PM<sub>2.5</sub> and PM chemical components were determined using computer-controlled scanning electron microscopy with energy-dispersive X-ray spectroscopy. The annual observed concentrations at all sites were below the South African National Ambient Air Quality Standards of  $40 \,\mu$ g/m<sup>3</sup> for PM<sub>10</sub> and  $25 \,\mu$ g/m<sup>3</sup> for PM<sub>2.5</sub>. The Cr-rich and CrFe-rich particles showed substantial heterogeneity with high concentrations observed near the chrome smelters, and Si-rich particles were highest near the silicon mine. SiAl-rich particles were highest at sites close to busy roads, while SiAlFe-rich particles were less spatially distributed. The low spatial variability of SiAlFe-rich particles indicates that these elements are mainly found in crustal material. Using the synoptic meteorological parameters of The Air Pollution Model, we were unable to effectively determine correlations between PM<sub>10</sub> and mixing height, Monin–Obukhov length, air pollution potential, or coefficient of divergence.

#### Significance:

- We have shown that the use of University of North Carolina passive samplers coupled with computercontrolled scanning electron microscopy is effective in determining the chemical composition of PM.
- The use of passive samplers is a cheap and effective method to collect data in remote areas of South Africa which have limited or no electricity supply.
- Assessment of the spatial distribution of PM and PM chemical components can assist in the development of effective air quality management strategies.

# Introduction

Airborne particulate matter (PM) is a term used to describe solid particles or a mixture of solid and liquid droplets suspended in the air.<sup>1</sup> The particle mixture may vary in size distribution, composition and morphology and may be in the form of sulfates, nitrates, ammonium and hydrogen ions, trace elements (including toxic and transition metals), organic material, elemental carbon (or soot) and crustal components.<sup>2,3</sup> PM may originate from either primary or secondary sources. Primary particles are those directly emitted into the atmosphere from sources such as road vehicles, coal burning, industry, windblown soil, dust and sea spray. Secondary particles are particles formed within the atmosphere by chemical reactions or condensation of gases. The major contributors of secondary particles are sulfate and nitrate salts formed from the oxidation of sulfur dioxide and nitrogen oxides, respectively.<sup>4</sup> Ambient PM has long been associated with adverse effects on respiratory, cardiovascular and cardiopulmonary health.<sup>5-7</sup> The severity of such health effects depends largely on the size, concentration and composition of inhaled particles.<sup>8</sup> PM pollution emanating from industrialisation has serious environmental impacts mainly because of the release of toxic substances and trace metals into the atmosphere.<sup>9</sup>

Industrialisation and urbanisation of rural areas can lead to the emission of large amounts of PM and chemical elements into the atmosphere. These emissions result in widespread air pollution problems<sup>10</sup>, and these problems have proved to be more regional and complex with time<sup>11</sup>. The Greater Tubatse Municipality (GTM) in South Africa is home to a large number of people and a variety of anthropogenic pollution sources such as chrome smelters, mines (for chrome, silicon and platinum), agricultural operations, biomass combustion, brick manufacturing, vehicles and unpaved roads, which can contribute to PM emissions. Differences in the composition of particles emitted by these sources may lead to spatial heterogeneity in the composition of the atmospheric aerosols. Hence, understanding the spatial variability of PM is of great importance for environmental planning and management purposes by both the industries and governing authorities. Therefore, this study will lay a foundation for developing effective intervention strategies to reduce PM emissions in the GTM. In South Africa, PM is only regulated in two size fractions (PM<sub>10</sub> and PM<sub>2.5</sub>). However, to date, there are no ambient air quality standards for elemental particles. The list of metals regulated under the *National Environmental Management: Act No. 39 of 2004* should be expanded to include metals such as chromium, iron, arsenic, copper, cobalt, manganese and other metals that have been identified<sup>12</sup> to have the potential to cause environmental health threats.

Apart from air pollution challenges due to anthropogenic activities, South Africa has a varying topography ranging from flat to complex terrain that can have differing effects on the dispersion of air pollutants. The shape of the landscape plays an important role in trapping or dispersing pollutants. Air pollution in mountain valleys tends to be higher in colder months than in warmer months.<sup>13</sup> The distribution of pollutants depends largely on the meteorology and the landscape of the area. Surface heterogeneity plays a major role in the interaction between the atmosphere and the underlying surface, and it affects moist convection, and systematically produces responses in both local circulation and regional climate.<sup>14-18</sup> Complex terrain such as that of the GTM is characterised by high mountains and steep inclinations. In this

type of terrain, the wind flow is very hard to predict. However, the steep slopes give rise to thermally induced circulations like mountain valley breezes which strongly modify the characteristics of synoptic flow.<sup>19-22</sup> The ability of the atmosphere to disperse pollutants depends on the local circulations, mixing height, stability of the atmosphere and wind strength. However, the complex nature of the terrain in the GTM and the lack of electricity supply in some areas of the municipality, makes it impossible to rely only on a network of continuous ambient air pollution monitoring.

A number of methods have been developed over the years to collect and analyse air pollutant samples, using both active and passive techniques. The passive sampling techniques involve non-active means such as gravitational settling to collect air samples onto the substrate. This method of sampling is cheaper than active sampling and allows for the deployment of more samplers to evaluate air pollution spatially.<sup>23</sup> The GTM has only one air quality monitoring station that is not sufficiently well maintained to produce good quality data. As a result, a network of passive samplers was used to determine the spatial variation of PM<sub>2.5</sub> and PM<sub>10</sub>, which in future can be used as a baseline for the deployment of active samplers in the area.

#### Mountain winds

Wind circulations in the free atmosphere above the mountains and valleys are governed by pressure gradients between large circulation systems.<sup>24</sup> The lower troposphere interacts with mountains, valleys and vegetation that in turn alter the circulation patterns. Mountainous terrain has a high degree of topographical variation and land-cover heterogeneity.<sup>25</sup> This variation in topography influences the atmosphere in two ways.<sup>26</sup> The first is in the form of momentum exchange between the atmosphere and the surface that occurs as a result of flow modification by mountains in the form of mountain lee waves, flow channelling and flow blocking.<sup>27</sup> The second effect involves energy exchange between the terrain and the atmosphere. The thermally induced winds depend on the temperature differences along the mountain plains systems and the strength of the synoptic systems and the cloud cover, with weak synoptic systems and cloud-free atmosphere producing more pronounced winds.<sup>20,28</sup> Mountain winds blow parallel to the longitudinal axis of the valley, directed upvalley during daytime and down-valley during night time. The circulation is closed above the mountain ridges by a return current flowing in the reverse direction. The actual development of thermally driven winds is often complicated by the presence of other wind systems developed on different scales.<sup>22,28</sup> Anabatic flows are more temporally limited during wintertime than summertime due to the shorter exposure period to sunlight.29

# Mixing height

Mixing height (MH) is the height to which relatively vigorous mixing occurs in the lower troposphere. Temperature inversions are most common in mountainous terrain where cool mountain air sweeps down into the valley at night, below the warm, polluted air. This inversion keeps the emitted pollutants close to the ground instead of allowing them to disperse into the atmosphere. A flow of thermal or synoptic origin channelled inside a mountain valley can transport plumes along the valley floor, thus limiting crosswind dispersion. Pollution stagnation in the bottom of the valleys can be favoured by the temperature inversion that develops inside the valley during the night and is destroyed by the growing convective boundary layer in the morning.<sup>30</sup> The thermally induced MH influences the concentration and transport of pollutants<sup>31</sup>, and is used in air quality models to determine atmospheric pollutant dispersion<sup>32-34</sup>. However, in mountainous terrain, processes such as MH and mountain slope winds are coupled together<sup>35</sup> to transport air pollutants across mesoscales to synoptic scales<sup>36</sup>. Research by De Wekker and Kossmann<sup>27</sup> has illustrated that the dispersion of pollutants in mountainous terrain does not depend on the boundary layer but rather on the thermally induced mountain slope winds.

# Monin–Obukhov length

The Monin–Obukhov (MO) similarity theory has been applied in air pollution modelling for determining the dispersion of air pollutants. The MO measures the stability of the atmosphere, with stable atmospheric conditions

favouring higher pollutant concentrations and unstable conditions allowing the dispersion of pollutants and hence lowering pollutant concentrations.<sup>37</sup> However, the MO is restricted to horizontal homogeneous terrains where there are no sudden roughness changes (such as in forested area, hilly or mountainous terrain) to modify the velocity profile and turbulent transport of heat and momentum.<sup>38</sup> Figueroa-Esspinoza and Salles<sup>38</sup> and Grisogono et al.<sup>39</sup> reported that MO theory is unable to account for the transport of pollutants in mountain valleys because the flow dynamics of the valleys are governed by anabatic and katabatic flows. These flows are generated by the mountain slopes and are normally decoupled from the synoptic flows above.

#### Ventilation coefficient

Gross<sup>40</sup> defined the ventilation coefficient (VC) as the product of the MH and the average wind speed, which can also be defined as a measure of the volume rate of horizontal transport of air within the MH per unit distance normal to the wind. Iyer and Raj<sup>41</sup> describe the VC as a measure of the atmospheric condition that gives an indication of the air quality and air pollution potential. When the coefficient is higher, it is an indication that the atmosphere is able to disperse air pollutants effectively, resulting in a better state of air quality, whereas low ventilation indicates poor pollutant dispersion resulting in high pollution levels. The VC varies diurnally during summer and winter with high coefficients observed in the late afternoon and low values in the early mornings. Winter coefficients are also lower than those in summer due to low MH and reduced wind speeds in winter,<sup>42,43</sup> and the influence of the dominant anti-cyclones that are experienced over southern Africa during the winter months.

# Air pollution potential

Gross<sup>40</sup> and Nath and Patil<sup>44</sup> describe air pollution potential (APP) as the measure of the inability of the atmosphere to adequately dilute and disperse pollutants emitted into it. The APP depends on meteorological conditions such as the MH, wind speed, atmospheric stability and solar radiation.<sup>45</sup> Once the pollutants are emitted into the atmosphere, their transportation is dependent on the mean wind speed which carries the pollutants away from the source to their sinks, and their convective mixing is dependent on the vertical temperature gradient.<sup>44</sup> The higher values of APP indicate that the atmosphere is unfavourable for the dilution and dispersion of pollutants<sup>46</sup> and indicate high concentrations of observed pollutants at the receiving environment. The low values of APP indicate that the atmosphere is conducive for the dispersion of pollutants which will result in low concentrations on the receiving environment.44 The APP can be used as a management tool for siting of ambient air quality monitoring stations and for land-use planning in the development of new residential areas and zoning of new industrial sites.

The aim of this work was to determine the spatial variability of  $PM_{10}$ ,  $PM_{2.5}$  and PM chemical composition. Further analysis of the MO theory, MH, VC and the atmospheric pollution potential was performed to determine whether these factors have any influence on the  $PM_{10}$  concentrations in the study area.

# Methods

# Study area

Sampling of PM was undertaken in a rural area of the GTM in Limpopo Province, South Africa (Figure 1). The main towns in the area are Steelpoort and Burgersfort which are sustained through economic activities such as mining and smelting of chromium ores. Furthermore, there are agricultural and forestry activities and transportation that also add to the economic activities in the area. Most of the households in the area are dependent on wood burning for space heating and cooking. The GTM has a complex terrain with high mountains and steep inclinations. The elevation of the surface area is approximately 740 m above sea level with the surrounding mountains extending to a height of approximately 1200–1900 m above sea level. The area is located in the subtropical climate zone where the maximum and minimum average temperatures are 35 °C and 18 °C, respectively in summer, and 22 °C and 4 °C, respectively in winter.<sup>46</sup> The annual rainfall for the area ranges between 500 mm and 600 mm.<sup>47</sup>



Figure 1: Google Earth map of the study area showing passive sampler locations (indicated by red pins) and smelters (indicated by green pins).

#### Site selection

The locations of the monitoring sites were selected to optimise spatial sampling for exposure assessment. A sequential sampling technique<sup>48,49</sup> was used to design an optimal sampling network of six sites in the GTM. This technique is based on extended knowledge of the area to be sampled and factors controlling the distribution of pollutants. These factors could be the terrain and various phenomena like meteorological conditions and the chemistry of pollutants.<sup>50</sup> The number of sites selected was influenced by budgetary constraints due to costs associated with laboratory analysis of samples. The sites were located at private residences, a church, a hospital and a school, to ensure a secure area with easy access for site visits.

#### Sampling and sample analysis

The University of North Carolina passive samplers designed by Wagner and Leith<sup>23</sup> and housed in a protective shelter designed by Ott et al.<sup>51</sup> were deployed at six sites for PM sampling. Ott et al.<sup>51</sup> designed the shelter to shield the passive sampler from precipitation and to minimise the influence of wind speed on particle deposition.<sup>52</sup> The samplers consist of a scanning electron microscopy stub, a collection substrate and a protective mesh cap.<sup>53</sup> The samplers were deployed for a period of ±30 days from July 2015 to June 2016, except for the months of August–September and September–November for which they were deployed for a period of >35 days. The longer sampling periods were selected to ensure that there was sufficient particle loading on the samplers.<sup>52</sup>

The  $PM_{2.5}$  and  $PM_{10}$  concentrations and the elemental composition of individual particles deposited on the passive sampler were determined by computer-controlled scanning electron microscopy with energydispersive X-ray spectroscopy (CCSEM-EDS). Before sample analysis by photoemission electron microscopy (according to the method of Hopke and Casuccio<sup>54</sup>), the samples were coated with a thin layer of graphitic carbon under vacuum to bleed off the charges induced by the electron beam in the SEM. The photoemission electron microscopy was operated at 20 kV.<sup>52</sup> We used the method of Lagudu et al.<sup>53</sup> to determine the chemical composition of PM using CCSEM analysis. Briefly, CCSEM scans the collection substrate of the SEM stub for individual particles and provides fluoresced X-ray spectra and an image of each particle. The method involves rastering the electron beam over the sample while monitoring the resultant backscattered signal. At each point, the image intensity is compared to a pre-set threshold level. Once a coordinate is reached at which the signal is above the threshold level, the electron beam is driven across the particle in a pre-set pattern to determine the size of the particle. Upon measurement of the particle size, the elemental composition of the particle is then determined by collection of characteristic X-rays using EDS techniques. Individual particles characterised during CCSEM analysis are then grouped into particle classes based on their elemental composition. The individual particle masses are finally calculated by multiplying the assigned density of the particle by its volume. Each particle is assigned a density based on common oxide in proportion to the elements present as determined by the EDS analyses.<sup>53</sup> The particle classes obtained from the analysis include carbon-rich (C-rich), chromium-rich (Cr-rich), iron-rich (Fe-rich), iron/chromium-rich (FeCr-rich), silicon-rich (Si-rich), calcium-rich (Ca-rich), silicon/aluminium/iron-rich (SiAlFe-rich), silicon/magnesium-rich (SiMg-rich) and silicon/aluminium-rich (SiAl-rich).

#### Data analysis

The coefficient of divergence (COD) was used to characterise the spatial variation of  $PM_{10}$ ,  $PM_{25}$  and PM chemical components. The COD is defined as:

$$COD = \sqrt{1/p \sum_{i=1}^{p} \left(\frac{x_{ij} - x_{ik}}{x_{ij} + x_{ik}}\right)^{2}}$$

Equation 1

where  $x_{ij}$  and  $x_{ik}$  are the concentration for sampling interval *i* at sites *j* and *k*, respectively, and p is the number of sampling intervals. In terms of spatial distribution, a COD of 0 means that there are no differences between the observed concentrations at the two sites, while a value approaching 1 indicates that the two sampling sites are different.53,55 Graphical analysis was also used in determining spatial variation. The inverse distance weighted (IDW) interpolation within the mapping software (ArcMap version 10.0) was applied to the annual and monthly concentrations of PM10, PM25 and the PM chemical components given that the number of sites was restricted by the cost. When data are sparse, the underlying assumptions about the variation among samples may differ and the use of a spatial interpolation method and parameters may become critical.56,57 The performance of the spatial interpolation method is better when the sample density is higher.58-60 However, the accuracy of regression modelling is not really dependent on the sampling density, but rather on how well the data are sampled and how significant the correlation is between the primary variable and secondary variable(s).<sup>61</sup> To predict a value for any unmeasured location. IDW uses the measured values surrounding the prediction location. The measured values closest to the prediction location have a greater influence on the predicted value than those farther away. IDW assumes that each measured point has a local influence that diminishes with distance. It gives greater weight to points closest to the prediction location, and the weight diminishes as a function of distance.62

#### APP calculation

The Air Pollution Model (TAPM) was used in the calculation of parameters needed to determine the APP. The dynamic parameters that were calculated included the MO length, wind velocity, planetary boundary layer height and turbulence parameters. The APP was determined according to the method of Swart<sup>63</sup> using Equation 2:

$$P(APP) = P(|\vec{v}|)P(H)P(L)$$

Equation 2

where P(APP) is the air pollution potential index,  $P(|\vec{v}|)$  is the wind speed, P(H) is the planetary boundary layer and P(L) is the atmospheric stability. The APP index for a specific area can be classified as being favourable, moderate or unfavourable depending on the conditions set out for the parameters that are the driving force behind the APP calculation, as shown in Table 1.

Table 1: Parameters and limits for air pollution potential (APP) calculation

| Parameter            | Unfavourable | Moderate   | Favourable  |  |  |
|----------------------|--------------|------------|-------------|--|--|
| Wind speed           | 0–2 m/s      | 2–5 m/s    | >5 m/s      |  |  |
| Mixing height        | 0–400 m      | 400–1000 m | >1000 m     |  |  |
| Monin–Obukhov length | 0 to 200 m   | >1000 m    | 0 to -200 m |  |  |

In this study, APP, MO, MH and VC values were calculated and correlated with the PM measurement values collected during the sampling campaign.

# **Results and discussion**

Figure 2 shows the annual concentrations of  $PM_{10}$ ,  $PM_{25}$  and PM chemical components. The annual concentrations of  $PM_{10}$  were 38.11  $\mu$ g/cm<sup>3</sup> at Site 3, 31.28  $\mu$ g/cm<sup>3</sup> at Site 2, 31.02  $\mu$ g/cm<sup>3</sup> at Site 1, 24.65  $\mu$ g/cm<sup>3</sup> at Site 5, 24.10  $\mu$ g/cm<sup>3</sup> at Site 4, and 20.98  $\mu$ g/cm<sup>3</sup> at Site 6. Annual  $PM_{10}$  concentrations were below the South African National Ambient Air Quality Standard of 40  $\mu$ g/cm<sup>3</sup>. The  $PM_{2.5}$  concentrations are on average lower than the concentrations of SiAI-rich and SiAIFerich particles. This finding can be attributed to the fact that some  $PM_{2.5}$  particles may have evaporated during the 3–5 week period during which the samplers were deployed in the field. The Fe-rich particles were the least abundant, with an annual average below 1  $\mu$ g/cm<sup>3</sup> across all sites. The C-rich particles had the same signature as  $PM_{2.5}$ , with the lowest concentration being around Site 6. The highest concentrations for Carich particles were observed around sampling Site 6 which is located about 1.6 km west-northwest of Marula Platinum Mine, with the lowest concentrations around Site 4 and Site 5. The highest Si-rich, SiMg-rich,

SiAl-rich and SiAlFe-rich particle concentrations were observed around sampling Site 3 which is about 1.7 km from the Samancor chrome smelter and 1.9 km from the silicone mine, with the lowest concentrations being observed at Site 6. The highest observed concentrations for FeCrrich particles were at sampling Sites 3 and 5, and Site 5 is about 2 km from the ASA chrome smelter. The Cr-rich particles were highest at Site 1 and Site 3; Site 1 is about 2.5 km from the Glencore chrome smelter. The annual concentrations of Cr-rich particles across all the sites were above the 0.11  $\mu$ g/m<sup>3</sup> annual limit set by the New Zealand Ministry of Environment.64 The highest PM10 concentrations were measured during the winter months (May-July) except at Site 6 (Mashegoane) where the highest concentrations were observed during the month of November when there was soil tillage in preparation for crop sowing just before the rainy season. SiAl-rich and SiAlFe-rich particles were the most abundant particles with Fe-rich particles being less abundant. Si-rich, Cr-rich and CrFe-rich particles were more abundant closer to their sources.

#### Spatial variation

The annual spatial concentration map was generated using geographic information system software (Figure 3). The number of sampling sites was limited due to budgetary constraints, so IDW was used because it does not require a threshold for number of points. The choice of the IDW statistical method proved to be useful as it was able to predict the spatial variation of PM<sub>10</sub>, PM<sub>25</sub> and PM chemical components in the study area. This output is very important for cash-strapped local authorities that are tasked with the responsibility of managing air quality in their jurisdiction because they can perform this analysis with limited resources. The maps in Figure 3 indicate that there is a distinct spatial heterogeneity in the study area with variability in both low and elevated concentrations being observed at different sites for PM<sub>10</sub>, PM<sub>25</sub> and PM chemical components. This difference can be attributed to the vast distribution of sources in the area. The highest concentrations for annual  $PM_{10}$ , Cr-rich, Fe-rich, Si-rich, SiAl-rich, SiAlFe-rich and SiMq-rich particles were observed around Site 3, which is about 1.7 km from the Samancor chrome smelter and 1.9 km from the Silicone mine, which are located south-southeasterly of the sampling site. The highest Fe-rich, Si-rich and SiMg-rich concentrations were sparsely distributed. Lowest concentrations for the same particles were observed around Site 6. The highest concentrations for PM25 and C-rich particles were observed around Site 1, and they have similar distribution patterns. The lowest concentrations of PM10, PM25 and PM chemical components were observed around Site 6, extending to Site 5 and Site 4. The only exception was SiAlFe-rich particles for which the lowest concentrations were observed to the northeast (Site 6) and southeast (Site 1) of the study area. FeCr-rich particles showed highest concentrations closer to the smelters around Site 3 and Site 5.



Figure 2: Annual concentrations (µg/cm<sup>3</sup>) of PM<sub>10</sub>, PM<sub>25</sub> and PM chemical components (site number in parentheses).



Figure 3: Maps of annual spatial variation for PM<sub>10</sub>, PM<sub>2.5</sub>, and C-rich, Ca-rich, Cr-rich, Fe-rich, FeCr-rich, Si-rich, SiAl-rich, SiAlFe-rich and SiMg-rich particles. High concentrations are shown in dark red and low concentrations are depicted in blue.

The highest Ca-rich concentrations were observed around Site 6, which is located in an area with black cotton soil. However, because the sites were not equally spaced in the study area, other methods such as the COD and r were used to confirm and validate the results of the spatial analysis determined using the geographic information system.

The COD values were calculated (Table 2) to characterise the spatial heterogeneity of  $\rm PM_{10},\,PM_{2.5}$  and PM chemical components.

| Table 2: | Results of | coefficient | of | divergence | (COD) | analysis | for | Greater |
|----------|------------|-------------|----|------------|-------|----------|-----|---------|
|          | Tubatse M  | unicipality |    |            |       |          |     |         |

| Species           | COD  |  |  |  |
|-------------------|------|--|--|--|
| PM <sub>10</sub>  | 0.24 |  |  |  |
| PM <sub>2.5</sub> | 0.29 |  |  |  |
| C                 | 0.25 |  |  |  |
| Са                | 0.38 |  |  |  |
| Cr                | 0.6  |  |  |  |
| Fe                | 0.41 |  |  |  |
| FeCr              | 0.59 |  |  |  |
| Si                | 0.35 |  |  |  |
| SiAl              | 0.3  |  |  |  |
| SiAlFe            | 0.28 |  |  |  |
| SiMg              | 0.42 |  |  |  |

COD values higher than 0.2 indicate spatial heterogeneity, while COD values less than 0.1 indicate homogeneity of concentrations. All components in the study area had COD values greater than 0.2, which is an indication that there was a heterogeneous relation observed between the sites in the study area, and is in agreement with the observations in Figure 3. The lowest heterogeneity values for COD ranged from 0.24 to 0.4 and were observed for PM<sub>10</sub> (0.24), C-rich (0.25), SiAlFe-rich (0.28), PM<sub>2.5</sub> (0.29), SiAl-rich (0.3), Si-rich (0.35) and Ca-rich (0.38) particles. The moderate to highest COD values were observed for Fe-rich (0.41), SiMg-rich (0.42), FeCr-rich (0.59) and Cr-rich (0.6) particles. The highest COD values were observed for sites located in the vicinity of point source emitters, which indicates that the communities residing in the vicinity of these point sources are more vulnerable to the exposure of these particles than those living further downwind.

# Influence of APP, MO, MH and VC on the distribution of $PM_{10}$

The annual influence of APP, MH, MO and VC on the distribution of  $PM_{10}$  concentrations is shown in Figure 4a–d. The highest annual  $PM_{10}$  concentrations are centred on Site 3 and distributed more to the east of the sampling site. The highest values for APP (Figure 4a) are centred to the south of the study area around Site 1, which is in contrast to the high APP values which are an indication of low dilution and poor dispersion of concentrations. The distribution of high concentrations to the east of Site 3 suggests that these concentrations move over the mountain



Figure 4: Spatial distribution of PM<sub>10</sub> and (a) air pollution potential, (b) mixing height, (c) Monin–Obukhov length and (d) ventilation coefficient.

slope to the east of Site 3, which is an indication that mountain winds may be responsible for this flow pattern. The lowest concentrations are centred on Site 6 and extend to Site 5 and Site 4. The lowest APP values are also encountered in the same area as that of the low  $PM_{10}$  concentrations which is in contrast to the APP definition. Site 1 and Site 2 have moderate  $PM_{10}$  concentrations, which could be attributed to the fact that the area from Site 4 to Site 6 is within a broader mountain valley floor base, compared to the area from Site 1 to Site 3 which has a narrow mountain valley floor base.

Figure 4b shows the comparison between  $PM_{10}$  and MH. There is no correlation between  $PM_{10}$  and MH. The highest MH was observed to the northeast of Site 3 which is supposed to be an area of low  $PM_{10}$  concentrations; however, high  $PM_{10}$  concentrations were observed in this region of high MH. The lowest  $PM_{10}$  concentrations were observed where there was generally low MH, which is in contrast to the notion that low MH values are associated with poor dilution and dispersion resulting in accumulation of pollutants.

The relationship between  $\mathrm{PM}_{\mathrm{10}}$  and MO is shown in Figure 4c. The area indicated by light green is an area with MO values below 0 and indicates favourable conditions for pollution dispersion. However, the lowest PM, 10 concentrations were observed in an area with moderate stability values, with high PM<sub>10</sub> concentrations observed in an area of moderate MO. Therefore, MO was unable to correctly indicate the locations of high and low  $PM_{10}$  concentrations. This anomaly between  $PM_{10}$  concentrations and MO in a complex terrain is because MO is dependent on horizontal wind flows and local equilibrium.<sup>39,65</sup> However, these conditions do not hold in a complex terrain.<sup>39</sup> The MO was derived from synoptic circulations and showed stable conditions in areas where high and low PM<sub>10</sub> concentrations were observed. The model's inability to account for discontinuities in steep terrain suggests that the PM<sub>10</sub> concentrations within the valley floor were influenced by the thermal circulations within the valley, with upslope winds due to thermal heating favouring low PM<sub>10</sub> concentrations and downwind flows due to thermal cooling leading to stagnation and a possible increase in  $\mathrm{PM}_{\mathrm{10}}$  concentrations. However, this hypothesis needs to be further tested in future studies with continuous ambient monitoring in the GTM.

Figure 4d shows the relationship between  $PM_{10}$  and the VC. The VC shows moderate to high values to the west of the study area with moderate to low values spreading to the east of the study area. The highest VC values are observed around Site 6 with moderate values across all sites and low values observed to the northeast of Site 6. The observations show that

there is a slight correlation between low  $\rm PM_{10}$  concentrations and high VC values, and poor correlation between high  $\rm PM_{10}$  concentrations and VC values.

The seasonal influence of APP, MH, MO and VC on the distribution of  $PM_{10}$  concentrations is shown in Figure 5 and Figure 6, for winter and summer, respectively. The highest PM<sub>10</sub> concentrations during the winter month of July were observed around sampling Site 5 which is located to the northeast of ASA chrome smelter. The lowest PM<sub>10</sub> concentrations were observed around Site 1 and Site 6, with moderate concentrations distributed across Site 2, Site 3 and Site 4. The highest APP values were concentrated around Site 1. Moderate APP values were observed to the northeast of Site 5 which is where high  $\rm PM_{_{10}}$  concentrations were observed, and to the east of the study area. Low APP values were observed in areas with moderate  $PM_{10}$  concentrations. The winter APP was unable to clearly identify areas with high and low  $PM_{10}$ concentrations. The highest winter MH was observed to the southeast of the study area with moderate values spreading from southwest to northeast of Site 5. All other sites are located in regions with low MH, which is in contrast to the expected relation between MH and the expected dispersion ability of the atmosphere. The most favourable areas (MO $\leq$ 0) for the dispersion of pollutants are indicated in Figures 5 and 6 by light green around Site 1 and Site 2. These areas are where the lowest PM<sub>10</sub> concentrations were observed. The most stable MO values were spatially distributed across Site 2, Site 3 and Site 4, which are areas where the highest pollution was expected. However, the highest concentrations were observed in an area of moderate MO values. This finding is an indication that the MO cannot clearly identify areas of high PM<sub>10</sub> concentrations in winter. Strong ventilation (VC) was observed to the west of the mountain valley and weak ventilation to the east of the mountain valley with moderate VC observed within the valley floor. This indicates that the winds within the valley were decoupled from winds outside the valley, and as a result, the VC cannot adequately predict the dispersion of pollutants in the study area.

During the summer month of December (Figure 6), the highest  $PM_{10}$  concentrations were distributed around Site 1 and lowest concentrations observed around Site 4, Site 5 and Site 6, with moderate concentrations observed around Site 2 and Site 3. The high APP was distributed around Site 1 with moderate values distributed across Site 2, Site 3 and Site 4, and lower values around Site 5 and Site 6. The  $PM_{10}$  concentrations are in agreement with the observed APP for all sites except Site 4 which is supposed to lie within a similar APP to that of Site 5 and Site 6. High MH values were observed to the southeast of the study area with



Figure 5: Influence of air pollution potential (APP), mixing height (MH), Monin–Obukhov length (MO) and ventilation coefficient on PM<sub>10</sub> concentrations in winter.



Figure 6: Influence of air pollution potential (APP), mixing height (MH), Monin–Obukhov length (MO) and ventilation coefficient on PM<sub>10</sub> concentrations in summer.



moderate values distributed across Site 2, Site 3, Site 5 and Site 6. The lowest MH values were observed across Site 1 and Site 4. The PM<sub>10</sub> concentration was therefore expected to be highest around Site 1 and Site 4 in accordance with the definition of MH, with moderate PM, expected across all other sites. However, only results from Site 1 were in agreement with the observed MH. The most favourable conditions (with respect to MO) for the dispersal of pollutants were observed around Site 1, with unfavourable conditions observed around Site 2 and Site 4, and moderate conditions around Site 3, Site 5 and Site 6. The lowest VC values were observed around Site 1 and the highest VC values were observed around Site 6, with moderate values distributed across the remaining sites. Therefore, the VC was able to predict the areas for high  $PM_{10}$  concentrations (Site 1) and low  $PM_{10}$  concentrations (Site 6). Site 1 and Site 6 are located in the valley openings with Site 1 being in an area with a narrow valley opening and Site 2 being in an area with a wide valley opening. The strength of the valley flows depends on the valley volume. Wind speeds are often larger near the valley head where valley volume is small and the pressure gradient is high relative to distance from ridge top to ridge top. Wind speed weakens near the valley opening where the valley volume is larger and the pressure gradient is low relative to the distance between ridge tops.<sup>66</sup> Therefore, wind erosion may have played a major role in the observed high  $PM_{10}$  concentrations at Site 1 and, similarly, calm conditions may have been responsible for the observed low  $PM_{10}$  concentrations at Site 6. However, the VC did not have the same influence on the other sites which are situated in the middle of the valley floor. The reason could be that the TAPM model inputs terrain following coordinate systems and was unable to account for discontinuities in the steep terrain of the study area.

# Conclusion

The University of North Carolina passive samplers coupled with CCSEM\_EDS were used to determine spatial heterogeneity of PM chemical components. The concentrations of  $PM_{2.5}$ ,  $PM_{10}$  and PM chemical components were spatially heterogeneous with high heterogeneity observed near the industrial sources for FeCr-rich and Cr-rich particles and Si-containing particles. The COD values also showed that the highest heterogeneity was observed near the industrial sources. Findings showed little or no correlation between  $PM_{10}$  and the meteorological parameters MH, MO length, APP and COD.

The findings highlight a very important point: passive samplers can be used (particularly in developing world contexts) as a substitute to more expensive continuous samplers to determine the spatial variation of PMs and their chemical components for effective environmental planning. The IDW interpolation within the mapping software (ArcMap version 10.0) was able to predict the spatial variation of PM10, PM25 and PM chemical components that indicated the existence of different conditions within the air shed, and therefore this variation may require different control strategies to mitigate the impacts of pollution within the air shed. The second finding was that synoptic winds used by the TAMP model were unsuccessful in determining the influence of APP, MO, MH and VC on the distribution of  $PM_{10}$  concentrations in a complex terrain. This finding clearly indicates that these parameters are dependent largely on winds generated by temperature changes and mountain slopes in mountainous terrain. However, the VC was able to predict the areas for high  $\mathrm{PM}_{_{10}}$  concentrations at the valley openings where the VC is influenced by the impact of pressure gradient on the wind strength. Therefore, for future analysis of the behaviour of pollutants in a complex terrain, a network of meteorological station balloon soundings within the valley floor and adjacent slopes needs to be set up in order to capture the actual meteorological parameters that influence the behaviour of air pollution. The ambient air quality should be monitored continuously to verify the findings of this study.

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# Authors' contributions

C.T. conceptualised the study, collected the samples, performed the GIS analysis, interpreted the data, wrote the initial draft of the manuscript and the revised version of the manuscript. C.Y.W. provided critical feedback and helped to shape the manuscript.

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